



213
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Attorney's Docket No.: 07043-103001 / B01-106
\$MP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : George M. Dougherty
Serial No. : 09/994,280
Filed : November 26, 2001
Title : THIN FILM MEMBRANE STRUCTURE
Art Unit : 2812
Examiner : Unknown

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER AND PETITION FOR AUTOMATIC EXTENSION

Correspondence relating to this application is enclosed as follows:

1. Response to Notice to File Missing Parts of Application;
2. Declaration by the Inventor;
3. Power of Attorney by Assignee;
4. Information Disclosure Statement;
5. PTO-1449;
6. Check in the amount of \$460;
7. Postcard.

The required fees are computed below. Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050.

Applicant hereby petitions under 37 C.F.R. §1.136 for a 3 month extension of time.

Surcharge for late filing.

\$460

65

TOTAL FEE DUE

\$525

A check for \$525 is attached.

05/06/2002 BABRAHA1 00000014 09994280

02 FC:217

460.00 DP

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 18, 2002

Date of Deposit

Signature

Judith Cotham

Typed or Printed Name of Person Signing Certificate

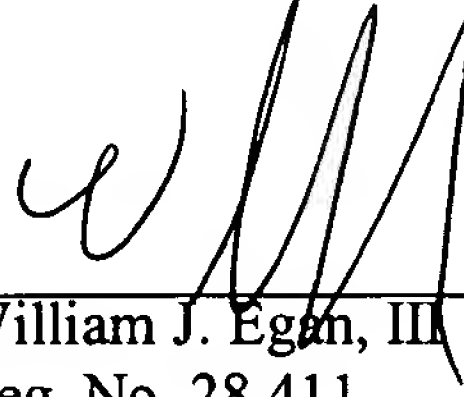
Applicant : George M. Dougherty
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Page : 2

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Date: _____

4/15/02

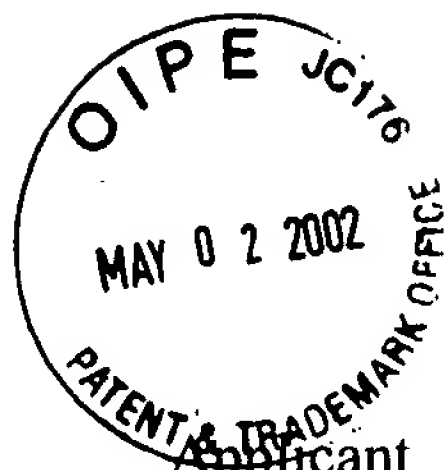
Respectfully submitted,



William J. Egan, III
Reg. No. 28,411

Fish & Richardson P.C.
500 Arguello Street, Suite 500
Redwood City, California 94063
Telephone: (650) 839-5070
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BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed December 7, 2001 (copy enclosed), applicant claims small entity status (see 37 CFR 1.27) and submits herewith the following:

- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$525 is attached;
- ☒ A Declaration By the Inventor in compliance 37 CFR §1.63 and a Power of Attorney By Assignee;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,280	11/26/2001	George M. Dougherty	07043-103001

CONFIRMATION NO. 8826

FORMALITIES LETTER



OC000000007170458

WILLIAM J. EGAN, III
Fish & Richardson P.C.
Suite 100
2200 Sand Hill Road
Menlo Park, CA 94025

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/06/2002 BARRAH1 00000014 09004280

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\$5.00 OP